



CITY OF SACRAMENTO
CALIFORNIA

Office of the City Auditor
Jorge Oseguera, City Auditor

Report 2022/23-03

September 6, 2022

Honorable Mayor and Members of the City Council:

Activity highlights for April through June 2022:

- The Office of the City Auditor issued the ***City Auditor's 2022 Diversity and Workplace Climate Survey Results***.
- The Office of the City Auditor issued the ***City Auditor's 2021 Audit of City Employee's Workforce Diversity and Salary Trends***.
- The Office of the City Auditor issued the ***2022 National Community Survey***.
- The Office of the City Auditor issued the Whistleblower Hotline Activity Report for the period of October 2021 through March 2022.
- The Office of the City Auditor issued the Quarterly Activity Report for the period of January 2022 to March 2022.
- Jordan Sweeney and Jorge Oseguera were designated Certified Internal Control Auditor's by the Institute for Internal Controls.
- The Office of the City Auditor released three interactive dashboards that allow users to analyze the 2022 Diversity and Workplace Climate Survey Results by department, gender, and ethnicity/race. The Office of the City Auditor created a Dashboards webpage on our website to centralize all dashboards in one easy to find location.
- The Office of the City Auditor updated and expanded the recommendation follow-up and whistleblower hotline activity dashboards.
- The Office of the City Auditor added a "Suggest an Audit" button to the website. Users can submit ideas for audits of City operations or programs.
- The Office of the City Auditor streamlined our internal training approval and tracking processes to improve efficiency and further reduce the use of paper.
- The Office of the City Auditor participated on the hiring panel for Office of Public Safety Accountability's most recent recruitment.

- The Office of the City Auditor initiated a recruitment for the recently vacated Utilities Auditor position.
- The Office of the City Auditor presented the results of the ***Audit of the Sacramento Community Police Review Commission: Renewed Focus on Cooperation is Urgently Needed*** to the Sacramento Community Police Review Commission.
- The Office of the City Auditor investigated a number of whistleblower allegations.
- The Office of the City Auditor continues to partner with Missionmark, Inc. to develop audit software that will streamline audit processes.

Status of the City Auditor's FY 2021/22 Audit Plan as of June 2022

	Preliminary Survey	Risk Assessment	Fieldwork	Report Writing	Report Issuance Date
Assignments Completed					
Regulatory and Internal Control Framework for the City's Use of American Rescue Plan Funds	Completed	Completed	Completed	Completed	Aug-21
DOU Risk Assessment	Completed	Completed	Completed	Completed	Oct-21
Audit of the Sacramento Community Police Review Commission	Completed	Completed	Completed	Completed	Nov-21
Continuous Cannabis Review Business Operations Tax (BOT)	Completed	Completed	Completed	Completed	Nov-21
Animal Care Services Survey	Completed	Completed	Completed	Completed	Feb-22
Assessments of Revenues and Expenses Associated with the City's COVID-19 Response - Report No. 4	Completed	Completed	Completed	Completed	Feb-22
2022 National Community Survey	Completed	Completed	Completed	Completed	May-22
2021 Audit of City Employees' Workforce Diversity and Salary Trends	Completed	Completed	Completed	Completed	Jun-22
2022 Diversity and Workplace Climate Survey Results	Completed	Completed	Completed	Completed	Jun-22
Assignments in Progress					
Vendor Audit of Wide Open Walls and David Sobon Events, Inc.	Completed	Completed	Completed	Completed	
Audit of the City's Financial Condition	Completed	Completed	Completed	In Progress	
Audit of Fire Department EMS	Completed	Completed	Completed	In Progress	
Language Accessibility for Community Engagement	Completed	Completed	Completed	In Progress	
Audit of the Cannabis Code Enforcement Unit	Completed	Completed	Completed	In Progress	
Assessments of Revenues and Expenses Associated with the City's COVID-19 Response - Report No. 5	Completed	Completed	In Progress		
Audit of the Department of Utilities Supervisory Control and Data Acquisition (SCADA) System	Completed	Completed	In Progress		
Audit of the Police Department's Property and Evidence Division	Completed	Completed	In Progress		
Ethics Commission Assessment	Completed	Completed	In Progress		
2022 Diversity Assessment of Boards, Committees, and Commissions	Completed	In Progress			
Audit of the City's Complaint Investigation and Resolution Practices	On Hold				

On behalf of the Auditor's Office, I would like to express my appreciation to City staff for their cooperation and assistance during our reviews.

Respectfully submitted,
Jorge Oseguera