



CITY OF SACRAMENTO
CALIFORNIA

Office of the City Auditor
Jorge Oseguera, City Auditor

Report 2020/21-02

August 11, 2020

Honorable Mayor and Members of the City Council:

Activity highlights for April through June 2020:

- The Office of the City Auditor issued the ***Department of Utilities Storm Drainage Fund Review***.
- The Office of the City Auditor issued ***Resident and Business COVID-19 Impacts Surveys***.
- The Office of the City Auditor issued the ***COVID-19 Regulatory and Internal Control Framework for the City's COVID-19 Response***.
- The Office of the City Auditor issued the Quarterly Activity Report for the January 2020 to March 2020 period.
- The Office of the City Auditor issued the Whistleblower Hotline Activity Report for the October 2019 through March 2020 period.
- The Office of the City Auditor issued the Semi-Annual Recommendation Follow-up Report for the period of July 2019 through December 2019.
- The Office of the City Auditor initiated the ***Vendor Audit of Wide Open Walls and David Sobon Events***.
- The Office of the City Auditor won the Association of Local Government Auditors **2019 Distinguished Knighton Award** in Medium Audit Shops category for the *Audit of City-Owned and Leased Real Property*.
- The Office of the City Auditor investigated a number of whistleblower allegations.
- The Office of the City Auditor continues to partner with Missionmark, Inc. to develop audit software that will streamline audit processes.

Status of the City Auditor's FY 2019/20 Audit Plan as of June 2020

	Preliminary Survey	Risk Assessment	Fieldwork	Report Writing	Report Issuance Date
Assignments Completed					
Department of Utilities Storm Drainage Fund Review	Completed	Completed	Completed	Completed	Apr-20
Regulatory and Internal Control Framework for the City's COVID-19 Response	Completed	Completed	Completed	Completed	Apr-20
Resident and Business COVID-19 Impacts Surveys	Completed	Completed	Completed	Completed	Jun-20
Assignments in Progress					
An Audit of the City's Green Efforts	Completed	Completed	Completed	In Progress	
Audit of City Employees' Diversity, Equity, and Inclusion	Completed	N/A	Completed	In Progress	
An Audit of Cannabis Permitting	Completed	Completed	Completed	In Progress	
Vendor Audit of the Roberts Family Development Center	Completed	Completed	Completed	In Progress	
Vendor Audit of the Downtown Streets Team, Inc.	Completed	Completed	Completed	In Progress	
Assessments of Revenues and Expenses Associated with the City's COVID-19 Response	Completed	N/A	In Progress		
Cannabis Compliance Risk Assessment	Completed	In Progress			
Vendor Audit of Wide Open Walls and David Sobon Events	In Progress				
Assignments on Hold					
An Audit of the CDD, Code Enforcement Division	Completed	Completed	On Hold		
An Audit of the Animal Care Services Division	On Hold				
An Audit of the City's Financial Condition	On Hold				
An Audit of Fire Department EMS	On Hold				
Assignments not yet Started					
An Audit of Recycling and Solid Waste	Not Started				
2020 City of Sacramento Community Survey	Not Started				
An Audit of the IT Department	Not Started				
An Audit of PW Maintenance Services Division	Not Started				
An Audit of PD Office of Investigations	Not Started				
An Audit of YPCE's Park Operations	Not Started				

On behalf of the Auditor's Office, I would like to express my appreciation to the City staff for their cooperation and assistance during our reviews.

Respectfully submitted,
Jorge Oseguera