



CITY OF SACRAMENTO  
CALIFORNIA

Office of the City Auditor  
Jorge Oseguera, City Auditor

Report 2020/21-07

October 19, 2020

Honorable Mayor and Members of the City Council:

Activity highlights for July through September 2020:

- The Office of the City Auditor issued the *Assessments of Revenues and Expenses Associated with the City's COVID-19 Response – Report No. 1.*
- The Office of the City Auditor issued the *COVID-19 Resident and Business Community Surveys, Phase 2.*
- The Office of the City Auditor issued the Quarterly Activity Report for the April 2020 to June 2020 period.
- The Office of the City Auditor issued the Semi-Annual Recommendation Follow-up Report for the period of January 2020 through June 2020.
- Nathan Lugo, Cannabis Compliance Auditor, earned the Certified Internal Auditor designation.
- The Office of the City Auditor added Make'da J. Traynum as an intern in the Auditor Fellows program.
- The Office of the City Auditor investigated a number of whistleblower allegations.
- The Office of the City Auditor continues to partner with Missionmark, Inc. to develop audit software that will streamline audit processes.

## Status of the City Auditor's FY 2020/21 Audit Plan as of September 2020

	Preliminary Survey	Risk Assessment	Fieldwork	Report Writing	Report Issuance Date
<b>Assignments Completed</b>					
Assessments of Revenues and Expenses Associated with the City's COVID-19 Response - Report No. 1	Completed	Completed	Completed	Completed	Sep-20
COVID-19 Resident and Business Community Surveys, Phase 2	Completed	Completed	Completed	Completed	Sep-20
<b>Assignments in Progress</b>					
An Audit of the City's Green Efforts	Completed	Completed	Completed	In Progress	
Audit of City Employee Diversity, Equity, and Inclusion	Completed	N/A	Completed	In Progress	
An Audit of Cannabis Permitting	Completed	Completed	Completed	In Progress	
Vendor Audit of the Roberts Family Development Center	Completed	Completed	Completed	In Progress	
Vendor Audit of the Downtown Streets Team, Inc.	Completed	Completed	Completed	In Progress	
Cannabis Compliance Audit Plan	Completed	Completed	Completed	In Progress	
Assessments of Revenues and Expenses Associated with the City's COVID-19 Response - Report No. 2	Completed	In Progress			
Vendor Audit of Wide Open Walls and David Sobon Events	In Progress				
<b>Assignments on Hold</b>					
An Audit of the CDD, Code Enforcement Division	Completed	Completed	On Hold		
An Audit of the Animal Care Services Division	On Hold				
An Audit of the City's Financial Condition	On Hold				
An Audit of Fire Department EMS	On Hold				
<b>Assignments not yet Started</b>					
An Audit of Recycling and Solid Waste	Not Started				
2020 City of Sacramento Community Survey	Not Started				
An Audit of the IT Department	Not Started				
An Audit of PW Maintenance Services Division	Not Started				
An Audit of PD Office of Investigations	Not Started				
An Audit of YPCE's Park Operations	Not Started				

On behalf of the Auditor's Office, I would like to express my appreciation to the City staff for their cooperation and assistance during our reviews.

Respectfully submitted,  
Jorge Oseguera